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## A NOTE ON THE SECTIONAL CURVATURE

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The type of the matrices of the second fundamental form of a submanifold  $M^n$  in a Riemannian manifold  $N^{n+p}$  is given, when the equalities in the estimates of the sectional curvature  $K_M(\sigma)$  of  $M^n$  by means of its mean curvature  $H$  and length  $S$  of the second fundamental form hold. It is shown that the equality in the upper estimate of the sectional curvature  $K_M(\sigma)$  of  $M^n$  in a space form  $N^{n+p}(c)$  is achieved when the normal bundle of  $M^n$  is flat and  $M^n$  is a product submanifold of the type  $M^2 \times M^{n-2}$  or  $M^2 \times E^{n-2}$  (cylinder), where  $M^2$ ,  $M^{n-2}$  are umbilical manifolds,  $E^{n-2}$  — Euclidean. It is also shown that among all the submanifolds in  $N^{n+p}(c)$  which pass through its point  $x$  and have at this point the same  $S(x)$ , the product submanifold  $M^n = M^2 \times E^{n-2}$  has at  $x$  the biggest sectional curvature  $K_M(\sigma)(x) = c + \frac{1}{2}S(x)$ .

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### 1. PRELIMINARIES

Let  $M^n$  be an  $n$ -dimensional submanifold of an  $(n+p)$ -dimensional Riemannian manifold  $N^{n+p}$ . We choose a local frame of orthonormal fields  $e_1, \dots, e_{n+p}$  in  $N^{n+p}$  such that, restricted to  $M^n$ , the vectors  $e_1, \dots, e_n$  are tangent to  $M^n$  and the remaining vectors  $e_{n+1}, \dots, e_{n+p}$  are normal to  $M^n$ .

We shall use the following convention on the ranges of the indices:

$$1 \leq i, j, k, \dots \leq n; \quad 1 \leq \alpha, \beta, \gamma, \dots \leq p.$$

We denote the second fundamental form  $h: T_x M^n \times T_x M^n \rightarrow T_x^\perp M^n$  on  $M^n$  for  $x \in M^n$  where  $T_x M^n$  is the tangent space of  $M^n$  at  $x$  and  $T_x^\perp M^n$  is the normal space to  $M^n$  at  $x$ , by its components  $h_{ij}^\alpha$  with respect to the frame  $e_1, \dots, e_{n+p}$ .

We call

$$H = \sum_{\alpha} \frac{1}{n} h^\alpha e_\alpha, \quad H^2 = \frac{1}{n^2} \sum (h^\alpha)^2, \quad \text{where } h^\alpha = \sum_i h_{ii}^\alpha \quad (1.1)$$

the *mean curvature vector* of  $M^n$ .

The square  $S$  of the length of the second fundamental form is given by:

$$S = \sum_{\alpha} \left[ \sum_{i,j} (h_{ij}^\alpha)^2 \right] \quad (1.2)$$

In general, for a matrix  $A = (a_{ij})$  we denote by  $N(A)$  the square of the norm of  $A$ , i.e.  $N(A) = \text{trace } A \cdot A^t = \sum_{i,j} (a_{ij})^2$  and

$$|\text{trace } A| \leq \sqrt{n \cdot N(A)}. \quad (1.3)$$

$S$  and  $h^\alpha$  are independent of our choice of orthonormal basis.

Let  $X$  and  $Y$  be a pair of orthonormal vectors tangent to  $M^n$  at a point  $x \in M^n$ , and let us suppose that the local frame  $e_1, \dots, e_{n+p}$  (\*) is so chosen that  $X$  and  $Y$  coincide with two arbitrary vectors of that frame. Let  $X = e_{n-1}$ ,  $Y = e_n$ . Then the sectional curvature  $K_M(\sigma)$  of  $M^n$  at the point  $x$  for the plane  $\sigma$  spanned by  $X$  and  $Y$  is written as follows:

$$K_M(\sigma) = \bar{K}_N(\sigma) + \sum_{\alpha} [h_{n-1,n-1}^\alpha h_{nn}^\alpha - (h_{n-1,n}^\alpha)^2] \quad (1.4)$$

where  $\bar{K}_N(\sigma)$  is the sectional curvature of  $N^{n+p}$ .

This paper is a continuation of the papers [1] and [2] where we proved that the sectional curvature  $K_M(\sigma)$  of a submanifold  $M^n$  in a Riemannian manifold  $N^{n+p}$  at a point  $x \in M^n$  satisfies the following inequalities:

$$K_M(\sigma) \leq K_N(\sigma) + \frac{4-n}{2} H^2 + \frac{n-2}{2n} S + \sqrt{\frac{2(n-2)}{n} H^2 (S - nH^2)}, \quad (1.5)$$

$$K_M(\sigma) \geq K_N(\sigma) + \frac{n^2}{2(n-1)} H^2 - \frac{1}{2} S \quad \text{when } \frac{n^2}{n-1} H^2 - S < 0, \quad (1.6)_1$$

$$K_M(\sigma) \geq K_N(\sigma) \quad \text{when } \frac{n^2}{n-1} H^2 - S \geq 0. \quad (1.6)_2$$

The purpose of this paper is to show for which submanifolds the equalities in (1.5), (1.6)<sub>1</sub> and (1.6)<sub>2</sub> are fulfilled. For this purpose we will formulate Theorem 1.1 from [2] more precisely describing the types of the matrices  $(h_{ij}^\alpha)$  of the

second fundamental form of  $M^n$  with respect to the suitably chosen orthonormal basis  $e_1, \dots, e_n, \dots, e_{n+p}$  (\*), when these equalities are achieved:

**Theorem 1.1.** *Let  $M^n$  be an  $n$ -dimensional submanifold of an  $(n + p)$ -dimensional Riemannian manifold  $N^{n+p}$ . For the sectional curvature  $K_M(\sigma)$  of the 2-plane section  $\sigma$  spanned by the two orthonormal vectors  $X$  and  $Y$  tangent to  $M^n$  at a non-totally geodesic point  $x \in M^n$  we have (1.5), (1.6)<sub>1</sub> and (1.6)<sub>2</sub>, where  $K_N(\sigma)$  denotes the sectional curvature of  $N^{n+p}$ .*

*The equality in (1.5) hold only when either  $n = 2$  or if  $n \geq 3$  all the matrices  $(h_{ij}^\alpha)$  of the second fundamental form with respect to the orthonormal basis  $e_1, \dots, e_{n-1} = X, e_n = Y, \dots, e_{n+p}$  (\*) are of the form*

$$\begin{pmatrix} \lambda_1^\alpha & \dots & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & \lambda_1^\alpha & 0 & 0 \\ 0 & \dots & 0 & \lambda_n^\alpha & 0 \\ 0 & \dots & 0 & 0 & \lambda_n^\alpha \end{pmatrix} \quad (1.7)$$

where

$$\lambda_1^\alpha = \frac{h^\alpha}{n} \mp \frac{1}{n} \sqrt{\frac{2[nS^\alpha - (h^\alpha)^2]}{n-2}}; \quad \lambda_n^\alpha = \frac{h^\alpha}{n} \pm \frac{1}{n} \sqrt{\frac{(n-2)[nS^\alpha - (h^\alpha)^2]}{2}}.$$

*The equalities in (1.6)<sub>1</sub> and (1.6)<sub>2</sub> are fulfilled if and only if either  $n = 2$  or when  $n \geq 3$  the corresponding matrices  $(h_{ij}^\alpha)$  are the following*

$$\begin{pmatrix} a_1^\alpha & \dots & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & a_1^\alpha & 0 & 0 \\ 0 & \dots & 0 & \frac{a_1^\alpha \mp c^\alpha}{2} & \frac{a_{n-1,n}^\alpha}{2} \\ 0 & \dots & 0 & \frac{a_{n-1,n}^\alpha}{2} & \frac{a_1^\alpha \pm c^\alpha}{2} \end{pmatrix} \quad (1.8)_1$$

where

$$a_1^\alpha = \frac{h^\alpha}{n-1}; \quad a_{n-1,n}^\alpha \leq \frac{S^\alpha}{2} + \frac{(3-2n)(h^\alpha)^2}{4(n-1)^2},$$

$$c^\alpha = \frac{1}{n-1} \sqrt{(3-2n)(h^\alpha)^2 + 2(n-1)^2[S^\alpha - 2(a_{n-1,n}^\alpha)^2]},$$

and

$$\begin{pmatrix} h_{11}^\alpha & h_{12}^\alpha & \dots & h_{1,n-1}^\alpha & h_{1n}^\alpha \\ h_{12}^\alpha & h_{22}^\alpha & \dots & h_{2,n-1}^\alpha & h_{2n}^\alpha \\ \dots & \dots & \dots & \dots & \dots \\ h_{1,n-1}^\alpha & h_{2,n-1}^\alpha & \dots & 0 & 0 \\ h_{1n}^\alpha & h_{2n}^\alpha & \dots & 0 & 0 \end{pmatrix}. \quad (1.8)_2$$

To find the view (1.7), (1.8)<sub>1</sub> and (1.8)<sub>2</sub> of the matrices  $(h_{ij}^\alpha)$  we apply for them the basic Lemma 2.1 from [1] and obtain that with respect to the suitably chosen orthonormal basis (\*) the upper and the lower bounds of the functions

$$h_{n-1,n-1}^\alpha h_{nn}^\alpha - (h_{n-1,n}^\alpha)^2, \quad \alpha = 1, 2, \dots, p, \quad (1.9)$$

appearing in the expression (1.4) for the sectional curvature  $K_M(\sigma)$ , namely,

$$h_{n-1,n-1}^\alpha h_{n,n}^\alpha - (h_{n-1,n}^\alpha)^2 \leq \frac{1}{2n^2} \left\{ (4-n)(h^\alpha)^2 + n(n-2)S^\alpha + 2|h^\alpha| \sqrt{2(n-2)[nS^\alpha - (h^\alpha)^2]} \right\}, \quad (1.10)_1$$

$$\begin{aligned} h_{n-1,n-1}^\alpha h_{n,n}^\alpha - (h_{n-1,n}^\alpha)^2 &\geq \frac{1}{2(n-1)}(h^\alpha)^2 - \frac{1}{2}S^\alpha, & \text{if } \frac{1}{n-1}(h^\alpha)^2 - S^\alpha < 0, \\ h_{n-1,n-1}^\alpha h_{n,n}^\alpha - (h_{n-1,n}^\alpha)^2 &\geq 0, & \text{if } \frac{1}{n-1}(h^\alpha)^2 - S^\alpha \geq 0 \end{aligned} \quad (1.10)_2$$

are achieved only when  $(h_{ij}^\alpha)$  have the forms (1.7), (1.8)<sub>1</sub> and (1.8)<sub>2</sub>, respectively.

We shall formulate some corollaries from this theorem.

**Corollary 1.1.** *The sectional curvature  $K_M(\sigma)$  of  $M^n$  at a point  $x$  for all 2-planes  $\sigma \in T_x M^n$  is non-negative ( $K_M(\sigma) \geq 0$ ) if*

$$K_N(\sigma) \geq \frac{1}{2}S - \frac{n^2}{2(n-1)}H^2 \quad \text{when } \frac{n^2}{n-1}H^2 < S, \quad (1.11)$$

or

$$K_N(\sigma) \geq 0 \quad \text{when } S \leq \frac{n^2}{n-1}H^2. \quad (1.12)$$

**Corollary 1.2.**  *$K_M(\sigma) \geq K_N(\sigma)$  for the plane  $\sigma \in T_x M^n$  at a point  $x \in M^n$  when*

$$S \leq \frac{n^2}{n-1}H^2. \quad (1.13)$$

**Corollary 1.3.**  *$K_M(\sigma) \leq 0$  for the plane  $\sigma \in T_x M^n$  at a point  $x \in M^n$  when*

$$K_N(\sigma) \leq - \left( \frac{4-n}{2}H^2 + \frac{n-2}{2n}S + \sqrt{\frac{2(n-2)}{n}H^2(S - nH^2)} \right), \quad (1.14)$$

(1.14) is possible only when  $K_N(\sigma)$  is negative as the right side of (1.14) is negative.

Next we will give other estimates of the sectional curvature  $K_M(\sigma)$ , depending only on the length  $S$  of the second fundamental form.

We need the following

**Proposition 1.2.** *Let  $M^n$  be a submanifold in a Riemannian manifold  $N^{n+p}$ , then at a point  $x \in M^n$  the functions (1.9) satisfy*

$$h_{n-1,n-1}^\alpha h_{nn}^\alpha - (h_{n-1,n}^\alpha)^2 \leq \frac{1}{2} S^\alpha, \quad (1.15)_1$$

$$h_{n-1,n-1}^\alpha h_{nn}^\alpha - (h_{n-1,n}^\alpha)^2 \geq -\frac{1}{2} S^\alpha. \quad (1.15)_2$$

The equality in (1.15)<sub>1</sub> holds when the matrices  $(h_{ij}^\alpha)$  with respect to the basis (\*) have the view

$$h_{ij}^\alpha = \begin{pmatrix} 0 & 0 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & \dots & h_{nn}^\alpha & 0 \\ 0 & 0 & \dots & 0 & h_{nn}^\alpha \end{pmatrix}, \quad h_{nn}^\alpha = \pm \sqrt{\frac{S^\alpha}{2}}. \quad (1.16)$$

The equality in (1.15)<sub>2</sub> is valid when  $h^\alpha = 0$  and  $(h_{ij}^\alpha)$  are

$$\begin{pmatrix} 0 & \dots & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & 0 & 0 & 0 \\ 0 & \dots & 0 & c^\alpha & h_{n-1,n}^\alpha \\ 0 & \dots & 0 & h_{n-1,n}^\alpha & -c^\alpha \end{pmatrix} \quad (1.17)$$

where

$$(h_{n-1,n}^\alpha)^2 < \frac{1}{2} S^\alpha, \quad c^\alpha = \pm \frac{1}{2} \sqrt{2[S^\alpha - 2(h_{n-1,n}^\alpha)^2]}.$$

The proof of this proposition follows from Lemma 2.2 from [1], applied to the matrices  $(h_{ij}^\alpha)$ .

From these estimates of the functions (1.9) and the expression (1.4) for the sectional curvature  $K_M(\sigma)$  we obtain the following

**Theorem 1.3.** *The sectional curvature  $K_M(\sigma)$  of  $M^n$  in a Riemannian manifold  $N^{n+p}$  at a point  $x \in M^n$  satisfies the following inequalities:*

$$K_M(\sigma) \leq K_N(\sigma) + \frac{1}{2} S, \quad (1.18)_1$$

$$K_M(\sigma) \leq K_N(\sigma) - \frac{1}{2} S. \quad (1.18)_2$$

The equalities in (1.18)<sub>1</sub> and (1.18)<sub>2</sub> are satisfied only when  $(h_{ij}^\alpha)$  with respect to a suitable basis (\*) have the forms (1.16) and (1.17), respectively.

## 2. THE EQUALITY CASES IN THE ESTIMATES

Let the ambient space  $N^{n+p}(c)$  be a space of constant curvature  $c$ , then (1.5), (1.6)<sub>1</sub> and (1.6)<sub>2</sub> take view, respectively:

$$K_M(\sigma) \leq c + \frac{4-n}{2}H^2 + \frac{n-2}{2n}S + \sqrt{\frac{2(n-2)}{n}H^2(S-nH^2)}, \quad (2.1)$$

$$K_M(\sigma) \geq c + \frac{n^2}{2(n-1)}H^2 - \frac{1}{2}S \quad \text{when } \frac{n^2}{n-1}H^2 - S < 0, \quad (2.2)_1$$

$$K_M(\sigma) \geq c \quad \text{when } \frac{n^2}{n-1}H^2 - S \geq 0. \quad (2.2)_2$$

We'll show when the equality in (2.1) holds. From the form (1.7) of the matrices  $(h_{ij}^\alpha)$  corresponding to this bound we see that all they are simultaneously diagonalized with respect to the chosen basis  $e_1, \dots, e_{n-1} = X, e_n = Y, \dots, e_{n+p}$  (\*). Each one of them has exactly  $n-2$  eigenvalues equal to the corresponding  $\lambda_1^\alpha$  and two equal to the corresponding  $\lambda_n^\alpha$  from (1.7) and the vectors  $X$  and  $Y$  on which the 2-plane  $\sigma$  is spanned are their common eigenvectors corresponding to their 2-multiple eigenvalue  $\lambda_n^\alpha$ . Then, taking in view the fact that every two of the matrices (1.7) are commutative as they can be simultaneously diagonalized, from the Ricci equation

$$R_{\beta kl}^\alpha = h_{ks}^\alpha h_{sl}^\beta - h_{ls}^\alpha h_{sk}^\beta \quad (2.3)$$

where  $R_{\beta kl}^\alpha$  is the curvature tensor of the normal bundle  $T_x^\perp M^n$ , it follows that

$$R_{\beta kl}^\alpha = 0, \quad (2.4)$$

i.e. the normal bundle of  $M^n$  is flat. The converse is also true.

Thus we prove the following

**Theorem 2.1.** *Let  $M^n$  be a non-totally geodesic submanifold in a space form  $N^{n+p}(c)$ . The equality*

$$\max_{\sigma \in T_x M^n} K_M(\sigma) = c + \frac{4-n}{2}H^2 + \frac{n-2}{2n}S + \sqrt{\frac{2(n-2)}{n}H^2(S-nH^2)} \quad (2.5)$$

when  $\sigma$  runs over all 2-plane sections tangent to  $M^n$  at a point  $x \in M^n$ , holds for all points  $x \in M^n$ , if and only if:

- i. the normal bundle of  $M^n$  is flat,
- ii. each one of the matrices  $(h_{ij}^\alpha)$  has exactly  $(n-2)$  eigenvalues equal to the corresponding  $\lambda_1^\alpha$  and two equal to  $\lambda_n^\alpha$  from (1.7) with respect to the basis (\*),
- iii. the vectors  $X$  and  $Y$  on which the 2-plane  $\sigma$  is spanned for which  $\max K(\sigma)$  is achieved are their common eigenvectors corresponding to their double eigenvalue  $\lambda_n^\alpha$ .

With the next theorem two examples of submanifolds satisfying the conditions of the above theorem will be given.

**Theorem 2.2.** *If the submanifold  $M^n$  ( $n \geq 4$ ) of  $N^{n+p}(c)$  satisfies the following conditions:*

- i. the normal bundle of  $M^n$  is flat,*
- ii.  $M^n$  is a product submanifold of the type  $M^n = M^2 \times M^{n-2}$  or  $M^n = M^2 \times E^{n-2}$ , where  $M^2$ ,  $M^{n-2}$  and  $E^{n-2}$  are 2-dimensional umbilical submanifold of  $N^{n+p}(c)$ ,  $(n-2)$ -dimensional umbilical submanifold of  $N^{n+p}(c)$ , and  $(n-2)$ -dimensional Euclidean submanifold of  $N^{n+p}(c)$ , respectively,*

*then the equality in (2.1) (or (2.5)) is achieved at a point  $x \in M^n$  for a 2-plane  $\sigma$ , which belongs to  $T_x M^2$ .*

Next, from Theorems 1.3 and 2.1 we obtain the following

**Theorem 2.3.** *From all  $n$ -dimensional submanifolds of  $N^{n+p}(c)$  which pass through a point  $x \in N^{n+p}(c)$  and have at  $x$  the same  $S(x)$ , only the submanifold  $M^n$  which satisfies the following conditions:*

- i. the normal bundle of  $M^n$  is flat;*
- ii. each one of the matrices  $(h_{ij}^\alpha)$  has exactly  $n-2$  eigenvalues equal to zero and two equal to  $\lambda_n^\alpha = \pm \sqrt{\frac{S^\alpha}{2}}$  with respect to the basis  $(*)$ ,*

*has the biggest  $\max K(\sigma_0)(x) = c + \frac{1}{2}S(x)$  achieved for  $\sigma_0$  spanned by the common eigenvectors  $X$  and  $Y$  of all  $(h_{ij}^\alpha)$ , corresponding to their 2-multiple eigenvalue  $\lambda_n^\alpha = \pm \sqrt{\frac{S^\alpha}{2}}$ . The mean curvature of this submanifold is  $H(x) = \pm \frac{1}{n} \sqrt{2S(x)}$ .*

The following theorem gives an example of a submanifold satisfying the conditions of Theorem 2.3.

**Theorem 2.4.** *The product submanifold  $M^n = M^2 \times E^{n-2}$  (cylinder) of  $N^{n+p}(c)$  with flat normal bundle, where  $M^2$  and  $E^{n-2}$  are 2-dimensional umbilical submanifold of  $N^{n+p}(c)$  and  $(n-2)$ -dimensional Euclidean submanifold of  $N^{n+p}(c)$ , respectively, has at  $x \in M^n$  sectional curvature  $K(\sigma_0)(x) = c + \frac{1}{2}S(x)$  for  $\sigma_0 \in T_x M^2$ . The mean curvature of  $M^n$  is:  $H(x) = \frac{1}{n} \sqrt{2S(x)}$  or  $H(x) = -\frac{1}{n} \sqrt{2S(x)}$ .*

Let us now see what we can say for the equality case in the lower bound in (2.2)<sub>1</sub>.

The only thing which can be said for the equality case in (2.2)<sub>1</sub> is formulated in the following theorem and follows from Theorems 1.1 and 1.3.

**Theorem 2.5.** *From all  $n$ -dimensional submanifolds of  $N^{n+p}(c)$  which pass through a point  $x \in N^{n+p}(c)$  and have at  $x$  the same  $S(x)$ , only the minimal submanifold  $M^n$  which second fundamental tensors with respect to an orthonormal basis  $e_1, \dots, e_{n-1} = X, e_n = Y, \dots, e_{n+p}$ , have matrices*

$$\begin{pmatrix} 0 & \dots & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & \dots & 0 & 0 & 0 \\ 0 & \dots & 0 & c^\alpha & h_{n-1,n}^\alpha \\ 0 & \dots & 0 & h_{n-1,n}^\alpha & -c^\alpha \end{pmatrix}$$

where

$$(h_{n-1,n}^\alpha)^2 < \frac{1}{2}S^\alpha, \quad c^\alpha = \pm \frac{1}{2}\sqrt{2[S^\alpha - 2(h_{n-1,n}^\alpha)^2]},$$

has the smallest  $\min K(\sigma_0)(x) = c - \frac{1}{2}S(x)$  for  $\sigma_0$  spanned on  $X = e_{n-1}$  and  $Y = e_n$ .

The mean curvature  $H(x)$  of  $M^n$  is zero, the sectional curvature of  $M^n$  is negative if the ambient space is Euclidean or Hyperbolic.

**Example of Theorem 2.1.** The hyperellipsoid  $M^3 \in E^4$

$$M^3 : x_1^2 + x_2^2 + x_3^2 + mx_4^2 = 1, \quad 0 < m < 1.$$

The principal curvatures of  $M^3$  are:

$$\lambda_1 = \lambda_2 = \frac{1}{\sqrt{1 + (m^2 - m)x_4^2}} = \frac{1}{\sqrt{Q}}; \quad \lambda_3 = \frac{m}{(\sqrt{1 + (m^2 - m)x_4^2})^3} = \frac{m}{(\sqrt{Q})^3},$$

$$h_{ij} = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_1 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}, \quad 0 < \lambda_3 \leq \lambda_1 = \lambda_2.$$

$$3H = h = 2\lambda_1 + \lambda_3 = \frac{2Q + m}{(\sqrt{Q})^3}, \quad S = 2\lambda_1^2 + \lambda_3^2 = \frac{2Q^2 + m^2}{Q^3} \quad (2.6)$$

$$\min \lambda_i \lambda_j \leq K_{M^3}(\sigma) \leq \max \lambda_i \lambda_j = \lambda_1 \lambda_2 = \lambda_1^2 = \frac{1}{Q} \Rightarrow K_{12} = \frac{1}{Q} = \max_{\sigma} K_{M^3}(\sigma).$$

On the other hand, according to (2.5) and taking in view (2.6) for the  $\max K_{M^3}(\sigma)$  we have:

$$\max K_{M^3}(\sigma) = \frac{1}{2}H^2 + \frac{1}{6}S + \sqrt{\frac{2}{3}H^2(S - 3H^2)} = \frac{1}{18} \left( h^2 + 3S + 2h\sqrt{2(3S - h^2)} \right),$$



which is exactly equal to  $\frac{1}{Q} = K_{12}$ .

### 3. CHARACTERIZATION OF SOME SUBMANIFOLDS IN $N^{N+P}$

**Theorem 3.1.** *A complete simply connected  $n$ -dimensional submanifold  $M^n$  in a Riemannian manifold  $N^{n+p}$  of negative sectional curvature is diffeomorphic to  $R^n$  if the second fundamental tensor of  $M^n$  satisfies (1.14).*

The proof follows from Corollary 1.3 and the theorem of Hadamard-Cartan.

**Corollary 3.1.** *If the second fundamental tensor of an  $n$ -dimensional complete simply connected submanifold  $M^n$  in an  $(n+p)$ -dimensional Riemannian manifold  $N^{n+p}$  of constant negative curvature ( $c < 0$ ) satisfies*

$$\frac{4-n}{2}H^2 + \frac{n-2}{2n}S + \sqrt{\frac{2(n-2)}{n}H^2(S-nH^2)} \leq -c \quad (3.1)$$

then  $M^n$  is diffeomorphic to  $R^n$ .

**Theorem 3.2.** *A complete connected  $n$ -dimensional submanifold  $M^n$  in an  $(n+p)$ -dimensional Riemannian manifold  $N^{n+p}$  of positive curvature bounded below by a constant  $c > 0$  is compact with diameter  $\leq \frac{\pi}{\sqrt{c}}$  if its second fundamental form satisfies (1.13).*

**Remark.** Another proof of this theorem in the case when  $N^{n+p}$  is of constant positive curvature is given by M. Okumura [7].

**Theorem 3.3.** *Let  $M^n$  be an  $n$ -dimensional non-compact complete connected submanifold in an  $(n+p)$ -dimensional Riemannian manifold  $N^{n+p}$ . If at each point  $x \in M^n$  for which  $\frac{n^2}{n-1}H^2 < S$  the inequality  $K_N(\sigma) \geq \frac{1}{2}S - \frac{n^2}{2(n-1)}H^2$  is fulfilled or if at each point  $x$  for which  $S \leq \frac{n^2}{n-1}H^2$  the inequality  $K_N(\sigma) \geq 0$  holds, then there exists in  $M^n$  a compact totally geodesic and totally convex submanifold  $Q_M$  without boundary such that  $M^n$  is diffeomorphic to the normal bundle of  $Q_M$ . In the case when  $N^{n+p}$  is of positive curvature which is not bounded below by a positive constant then  $M^n$  is diffeomorphic to  $R^n$  if  $S \leq \frac{n^2}{n-1}H^2$ .*

We prove this theorem using Corollary 3.1 and the theorems of Cheeger and Gromoll [5] and Gromoll and Meyer [6].

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